

DRAFT SUBJECT TO REFINEMENT

CITY OF MESA
EMERGENCY SOLUTIONS GRANT (ESG)
SUBSTANTIAL AMENDMENT

TO THE FY 2011/2012 CITY OF MESA
ANNUAL ACTION PLAN

TABLE OF CONTENTS

	<u>Starts On or After</u>
1.0 Preface	1
2.0 Summary of Consultation Process	1
3.0 Summary of Citizen Participation Process	1
4.0 Match Description	2
5.0 Proposed Activities & Budget	2
5.1 Proposed Activities & Budget	2
5.2 Discussion of Funding Priorities	3
5.3 Detailed Budget.....	3
6.0 Written Standards For the Provision of ESG Assistance	5
6.1 Evaluating Individual and Family Eligibility.....	5
6.2 Coordination Among Homeless Providers	6
6.3 The Prioritization and Determination of Individuals and Families That Receive Homeless Prevention and Rapid Re-Housing Support	7
6.4 The Determination of The Share Of Rent & Utilities That Program Participants Pay	7
6.5 The Duration & Adjustment of Rental Assistance Provided Program Participants Housing Stabilization and/or Relocation Services For Program Participants	7
6.6 Type, Amount & Duration Of Housing Stabilization and/or Relocation Support For Program Participants.....	8
7.0 Sub-Award Process	8
8.0 Homeless Participation Requirement	8
9.0 Performance Standards	8
10.0 Certifications	
10.1 Optional Item: Centralized or Coordinated Assessment System	9
10.2 Optional Item: Monitoring Refinements	9
11.0 Appendix	10
✓ Table 3C Information For The City of Mesa ESG Program	
✓ ESG Substantial Amendment Certifications	
✓ Executed SF 424	

1.0 Preface

The Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act), enacted into law on May 20, 2009, consolidates three of the separate homeless assistance programs administered by HUD under the McKinney-Vento Homeless Assistance Act into a single grant program, and revises the Emergency Shelter Grants program and renames it as the Emergency Solutions Grants (ESG) program. New HUD federal regulations numbered 24 CFR Parts 91 and 576, dated December 5, 2011, implement the new Emergency Solutions Program. HUD federal regulations dated January 27, 2012 mandate the preparation of a substantial amendment to the FY 2011/'12 City of Mesa Action Plan associated with the ESG program. This report constitutes such an amendment.

2.0 Summary of Consultation Process

The City of Mesa and its homeless providers are members of the Maricopa County Continuum of Care (COC) and have, and will continue to actively participate in the process going forward. Articulated in Section 5.0 of this Substantial Amendment, Mesa has and continues to establish its priorities for homeless persons and those 'at risk' from needs generated in the annual COC planning process and investment strategy in addition to information contained from its most recent FY 2010-2014 Consolidated Plan and the deliberations of the City of Mesa Human Services Advisory Board. Administered by the Maricopa Association of Governments (MAG), the Maricopa County HMIS is continually being refined and the community uses and relies on the system for participating clients. As both a standing member on the Maricopa COC and participant with the other ESG recipients and MAG, efforts are currently underway to further strengthen funding, policies and procedures for the operation and administration of the Maricopa County HMIS. Mesa has drawn information from the HMIS to devise its ESG performance standards and plans on continuing refinement here going forward.

3.0 Summary of Citizen Participation Process

The adopted FY 2010-2014 City of Mesa Citizen Participation Plan (CP Plan) guides the approval process for the ESG Substantial Amendment. Pursuant to its adopted CP Plan, the City of Mesa has followed the required process and procedures:

- ✓ Posted the required public notice on March 25, 2012 advertising the required 30-day public hearing process for the Substantial Amendment that commenced on March 29th and terminated on April 30th, 2012.
- ✓ Note that required postings, 30-day public comment process and public hearing were held in tandem with the City of Mesa FY 2012/'13 Annual Action Plan.
- ✓ The city conducted a public hearing on April 5, 2012 for the Substantial Amendment where it provided reasonable public notice in newspaper/s of general circulation, to foster review and comment by the public for the 30-day public comment process commencing March 29, 2012 and extending through April 30, 2012.
- ✓ Submitted the ESG amendment to the Mesa City Council for review and approval on May 7, 2012.

- ✓ Upon the termination of the required 30-day comment period, the City of Mesa secured the following comments on its ESG Substantial Amendment and municipal responses follow each comment received.

(information forthcoming)

4.0 Match Description

The City of Mesa will match its second allocation of \$84,847 in FY 2011 ESG resources with a comparable amount of federal, state and local resources (cash and non-cash) from general funds, ABC, possibly CDBG and eligible non-profit contributions pursuant to 24 CFR 576.201. The City of Mesa will insure that 100 percent of the Emergency Solutions Grant received is matched with equal resources. This match may be passed on to grant sub-recipients as applicable. The match may be cash or an in-kind amount and cannot be counted as satisfying the matching requirement of another federal grant. Types of match that will be accepted include:

- ✓ Cash contributions expended for allowable costs including staff salaries and fringe benefits
- ✓ Noncash contributions
- ✓ Services provided by volunteers are matched at the current minimum wage salary unless the recipient can verify a higher rate of pay for current employees performing similar work
- ✓ Real property, equipment, goods or services that if the recipient had to pay for them with grant funds, the payments would have been indirect costs
- ✓ The value of donated goods and services such as clothing, food, diapers, haircuts, etc. The value placed should be consistent with OMB Circulars 87 and A-122.
- ✓ Costs paid by program income provided they are eligible ESG costs that supplement the recipient's ESG program.

Match documentation will be required of all sub-recipients before reimbursements will be made if/as applicable.

5.0 Proposed Activities and Overall Budget

5.1 Proposed Activities & Budget

The first funding phase of FY 2011 was allocated to emergency shelters (95%) and administration (5%). The City of Mesa plans on funding administration at 7.5% of the total fiscal year allocation. Mesa will utilize the balance of its second allocation of ESG resources for eligible rapid re-housing and homelessness prevention. Efforts will include but not be limited to the provision of housing relocation and stabilization services as well as short- and medium-term rental assistance. These activities will assist individuals or families from becoming homeless and/or assists them in regaining stability in current or other permanent housing. Efforts shall also assist individuals or families who are currently homeless move into permanent housing or achieve housing stability.

Consistent with Opening Doors: Federal Strategic Plan To Prevent and End Homelessness, the city is targeting clients for both short- and longer- term support. Local

factors derived from the City of Mesa FY 2010-2014 Consolidated Plan include the estimated 37,000 households (in 2010) who are earning under 50% of the area median in addition to persons experiencing precipitating events like domestic or sexual violence and illness. The city will strive to focus available resources to the most vulnerable populations, including children and their families, unaccompanied youth, people with disabling conditions, and frail elders. The key obstacle to addressing under-served needs in Mesa represent the limited amount of resources available to help the sizeable and growing number of clients in need.

Refer to Table 3C in the Appendix for further details associated with the specifics of the Mesa 2nd Allocation of FY 2011/'12 ESG resources.

While the emergency shelter component (first allocation) for FY 2011 is slightly over 60 percent, it is equivalent to the FY 2010 obligated amount as shown on Table 1.

Table 1: Suggested Format for Declaration of FY 2010 Grant Fund

Activity Type	Obligated Amount
Homeless Assistance	\$144,467
Homelessness Prevention	\$0
Administrative Activities	\$6,076
Total FY 2010 Award	\$150,543

5.2 Discussion of Funding Priorities

After ongoing consultation with key members of the Maricopa County Continuum of Care (COC) and the City of Mesa Human Services Advisory Board (HSAB), key area emergency shelters (East Valley Men’s Center, La Mesita Family Shelter, etc) and the Autumn House Domestic Violence Transitional Shelter were funded with the first ESG allocation at the maximum possible. As the federal government is strongly encouraging grantees to emphasize the rapid re-housing component in light of the limited amount of funding available, the City of Mesa has elected to allocate the remaining 2nd Allocation of ESG funds to rapid re-housing and homeless prevention categories. The city anticipates serving approximately 86 households (164 people) comprised of tenant based rental support and relocation/stabilization services. If the city determines that it can not fully expend within required time frame, such dollars may be re-programmed for a homeless prevention component. In addition, the City of Mesa sees the need for street outreach to address chronically homeless and medically vulnerable individuals living on the streets. Refer to Table 3C in the Appendix for further details associated with the specifics of the 2nd Allocation of FY 2011/'12 ESG resources.

5.3 Detailed Budget

The City of Mesa is not re-programming any funds from the first allocation. The detailed budget follows.

FY 2011 City of Mesa Detailed Budget Table						
First Allocation	\$150,839.00	FY 2011 Emergency Shelter Grants/Emergency Solutions Grants Program Allocations				
Second Allocation	\$84,847.00					
Grant Amount	\$235,686.00					
Total Administration	\$17,676.45					
		First Allocation		Second Allocation	Total Fiscal Year 2011	
	Eligible Activities	Activity Amount	Reprogrammed Amount	Activity Amount	Activity Amount	
Emergency Shelter Grants Program	Homeless Assistance	\$144,467.00	\$0.00		\$144,467.00	
	<i>Rehab/Conversion</i>				\$0.00	
	<i>Operations</i>	\$144,467.00			\$144,467.00	
	<i>Essential Services</i>				\$0.00	
	Homelessness Prevention				\$0.00	
	Administration	\$6,076.00			\$6,076.00	
Emergency Shelter Grants Subtotal		\$150,543.00	\$0.00		\$150,543.00	
Emergency Solutions Grants Program	Emergency Shelter**			\$0.00	\$0.00	
	<i>Renovation**</i>			\$0.00	\$0.00	
	<i>Operation**</i>			\$0.00	\$0.00	
	<i>Essential Service**</i>			\$0.00	\$0.00	
	<i>URA Assistance**</i>			\$0.00	\$0.00	
	Street Outreach - Essential Services**			\$0.00	\$0.00	
	HMIS				\$0.00	
	Rapid Re-housing		\$0.00	\$45,000.00	\$45,000.00	
	<i>Housing Relocation and Stabilization Services</i>			\$22,500.00	\$22,500.00	
	<i>Tenant-Based Rental Assistance</i>			\$22,500.00	\$22,500.00	
	<i>Project-Based Rental Assistance</i>				\$0.00	
	Homelessness Prevention		\$0.00	\$28,246.00	\$28,246.00	
	<i>Housing Relocation and Stabilization Services</i>			\$20,000.00	\$20,000.00	
	<i>Tenant-Based Rental Assistance</i>			\$8,246.00	\$8,246.00	
	<i>Project-Based Rental Assistance</i>				\$0.00	
	Administration			\$11,600.45	\$11,600.45	
	Emergency Solutions Grants Subtotal			\$0.00	\$84,846.45	\$84,846.45
			Total Grant Amount:			\$235,389.45

**Allowable only if the amount obligated for homeless assistance activities using funds from the first allocation is less than the expenditure limit for emergency shelter and street outreach activities (see Section III.B. of this Notice).

6.0 Written Standards For the Provision of ESG Assistance

6.1 Evaluating Individual and Family Eligibility

The city and any recipient agencies will conduct an initial evaluation to determine each individual or family's eligibility for ESG assistance and the amount and types of assistance the household needs to regain stability in permanent housing. The evaluations will be conducted in accordance with the centralized assessment requirements (attached) of the Maricopa County Continuum of Care.

Eligibility requirements for the rapid re-housing and/or prevention components of the program include:

- ✓ The program participant must meet the criteria under paragraph (1) the “at risk of homelessness” definition or who meet the criteria in paragraph (2), (3), or (4) of the homeless definition in 576.2 for homeless prevention assistance.
- ✓ City of Mesa resident for at least 3 months or evicted from Mesa residence within past 1-4 months.
- ✓ Household must meet both of the following circumstances: (1) no appropriate subsequent housing options have been identified; AND (2) the household lacks the financial resources and support networks needed to obtain immediate housing or remain in its existing housing.
- ✓ The individual or family is currently in housing but at risk of becoming homeless and needs temporary rent or utility assistance to prevent them from becoming homeless or assistance to move to another unit OR are they experiencing homelessness (residing in emergency or transitional shelters or on the street) and need temporary assistance in order to obtain housing and retain it
- ✓ Being evicted from residence due to nonpayment - copy of lease and eviction notice required OR being evicted due to foreclosure – eviction notice required.
 - Not eligible if name not on lease
 - Not eligible if the applicant is enrolled in a social services program that is providing housing
 - Per HUD those in transitional housing not eligible unless graduating or timing out
 - Not eligible if household is already subsidized (i.e. Section 8, Section 236)
 - Not eligible if renting from family member
- ✓ The household income must be less than 50 percent of area median income. Annual income will be calculated using the standards for calculating income under HOME and Section 8 income guidelines.
- ✓ Must have verifiable earned (job) income within the past 12 months
 - Household where disability/SSI/SSDI only source of income, even in combination with job for same person, not eligible as income is fixed, financial situation cannot be remedied by HPRP funds
 - OK if other household member is on fixed income, as long as head of household has earned income within past 12 months
- ✓ Have verifiable loss of job or income due to the economy – must be linked to the economy (sufficient work history 6 months before layoff)
 - Not eligible if loss of job was due to firing (job performance/policy issues), leaving for maternity or other medical leave, or quit job for any reason

- Not eligible if loss of hours/gross income was due to medical reasons, absences not required by employer, performance issues, any other issue except economy
- Not eligible if we cannot confirm loss of job or hours is due to economy by documentation from employer or third party verification.
- Not eligible if deductions from gross income such as garnishments, company loans, etc. are causing the problem UNLESS the item is verified to be due to the current economy
- Not eligible if loss of overtime is the cause of current financial situation
- Loss of income must be sufficient to cause current financial situation
- ✓ Applicant must have the following original documents
 - Social Security card
 - Picture ID
 - Birth certificate (certified copy acceptable)
 - Birth certificate (certified copy acceptable)
- ✓ Applicant must be listed on lease agreement or utility account
- ✓ The program participant lacks sufficient resources and support networks necessary to retain housing without ESG Assistance (but for this assistance they would be homeless).
- ✓ Applicants eligible for continued assistance must submit completed job log. Any changes will be reviewed for eligibility

6.2 Coordination Among Homeless Providers

Coordination among emergency shelter providers, essential services providers, homelessness prevention and rapid re-housing assistance providers, other homeless assistance providers, and mainstream services and housing providers will be done through ongoing participation in the Maricopa County COC, HMIS sharing, the City of Mesa HSAB and its homeless subcommittees formed over time and efforts by Mesa to foster case managers to share information and resources and assist each other with resources for clients. Mesa and all ESG recipients will continue to be active member of the COC.

After initial evaluation, a program participant will meet with his or her caseworker on a monthly basis. The recipient will be connected to appropriate supportive services including assistance in obtaining permanent housing, mental health treatment, counseling, and other services essential for achieving independent living. They will also be linked to federal, state, local and private assistance available to assist with obtaining housing stability including ALTCCS, food assistance, WIC, Unemployment benefits, SSI, SSDI, veteran's benefits, medical assistance, legal aid, employment assistance, and other locally available assistance programs. A plan will be developed to assist the program participant to retain permanent housing after the assistance ends, taking into account all relevant considerations such as the program participants current or expected income and expenses, other public or private assistance for which the program participant will be eligible and likely to receive, and the affordability of available housing in the area. Each participant will be linked to available permanent supportive housing programs or Section 8 Voucher Programs when the waiting lists are open.

6.3 The Prioritization and Determination of Individuals and Families That Receive Rapid Re-Housing Support or Homeless Prevention

The key to the success of any program is a screening and assessment process, which thoroughly explores the family's or individual's situation and pinpoints their unique housing and service needs. Based upon the City of Mesa assessment, families and individuals will be referred to the kinds of housing and services most appropriate to their varying situations. Rapid re-housing funding will be available to those who are literally homeless to ultimately move into permanent housing and achieve housing stability. For homelessness prevention assistance, funds would be available to persons earning below 50% of Area Median Income (AMI), and are homeless or at risk of becoming homeless. These funds can be used to prevent an individual or family from becoming homeless and/or regain stability in current housing or other permanent housing.

6.4 The Determination of The Share Of Rent & Utilities That Program Participants Pay

The gross rent (rent plus utilities) for a subsidized unit cannot be more than the HUD established fair market rent for the area and must also meet a rent reasonableness test. The ESG program will pay 100 percent of the first month's rent for program participants. After that, all households will be expected to pay 30 percent of the gross income for rent and utilities. Deposits for pets, phone, cable or satellite (any TV services) and/or internet will not be paid. A utility allowance schedule will be adopted. Eligible units must pass housing quality standards.

6.5 The Duration & Adjustment of Rental Assistance Provided Program Participants Housing Stabilization and/or Relocation Services For Program Participants

The program participant will be re-evaluated every three months to establish that the client continues to have an annual income less than 50 percent of area medium income. No participant can receive more than 6 month's rent in any given program year. The program participant will be required to report any changes in income in excess of \$500 per month, and a revaluation will be completed at that time. The participant will receive a minimum notice of 30 days of any change in the tenant payment. Applicants eligible for continued assistance must submit completed job log. Any changes will be reviewed for eligibility.

6.6 Type, Amount & Duration Of Housing Stabilization and/or Relocation Support For Program Participants

The type of assistance a program recipient will receive is dependent of their circumstances as determined at their initial eligibility screening and housing assessment plan. A participant can receive no more than 6 months of assistance in any program year, but may receive several types of assistance (security deposit, moving costs and rent for example). There will be a life-time cap of 18 months of assistance for any household.

7.0 Sub-Award Process

At this point, the City of Mesa plans on delivering the programs in the 2nd allocation of ESG however it may retain sub-recipients. If sub-recipients are pursued, the City of Mesa will commit such ESG resources through policies and procedures adopted by the HSAB and dynamic priorities of the Mesa City Council. While up to 60% of the annual FY 2011/12 ESG allocation is being used for emergency/transitional shelter support through sub-grantees, the second allocation will be committed for rapid re-housing and homeless prevention activities. If needed, allocations by the HSAB will be based on a combination of both technical and presentation scores. Mesa Housing and Community Development Department staff would make recommendations to the HSAB for their review and action and ultimate consideration by City Council.

8.0 Homeless Participation Requirement

While the City of Mesa is unable to have a homeless or formerly homeless person serve on the City Council. However, the city may establish an interim Homeless Advisory Subcommittee to the HSAB which would have such participation. Regardless, homeless participation is and will continue to be secured through consultation with the Maricopa County COC in addition to homeless participation requirements passed on to providers selected via the sub-award process by the HSAB. All funded providers will incorporate the requisite homeless participation language in their contracts that will be checked during the monitoring process.

9.0 Performance Standards

If ESG resources are awarded to providers, these agencies will be monitored annually to insure that program guidelines are being followed. In addition, before reimbursement can be made, verification will be required including certification of homelessness, lease documents, and income calculations, as well as cancelled check and invoices. A contract will be developed requiring quarterly reimbursement requests and timely expenditure of funds. As the City of Mesa plans on administering the 2nd Allocation of ESG itself, it will monitor itself regarding compliance with relevant ESG guidelines.

During the past year, the Mesa has begun the formulation of specific performance objectives and outcomes for all of its programs. Benchmarks are being formulated. Included in these outcomes are:

Case Management Services:

- ✓ 80% of program participants have a monthly service transaction and housing plan in HMIS
- ✓ 30% of program participants will see an increase in self-sufficiency scores by 20 percent

Coordination of Services

- ✓ Improved Care coordination between housing partners and mainstream resources

Tenant Based Rental Support Under Rapid Re-Housing

- ✓ At least 20 households assisted with rental support.

Housing Relocation & Stabilization Services Under Rapid Re-Housing and Homeless Prevention

- ✓ At least 10 households assisted.

The City of Mesa has consulted and will continue to consult with the COC regarding the performance standards for activities funded under ESG by discussing how best the HMIS system and the COC can produce uniform reports for all recipients and provide detailed and improved reports for the ESG program.

The consistency with the Consolidated Plan, annual action plan and CAPER, will be used as a guide for which performance standards will be recorded, tracked and produced in monthly or quarterly reports. Further assessments such as what worked using HUD funds, the most pressing needs for clients, barriers to permanent and transitional housing, the connection of other mainstream resources and outcomes of families and individuals upon completion of a program will be reported by the COC.

10.0 Certifications

10.1 Optional Item: Centralized or Coordinated Assessment System

The City of Mesa is working with the Maricopa County COC to institute a centralized or coordinated assessment system over time that works for all members and supportive housing providers throughout the region.

10.2 Optional Item: Monitoring Refinements

City of Mesa monitoring procedures will be conducted the same as the HPRP program, including verification of income and homeless documentation.

11.0 Appendix.....

- ✓ Table 3C Information For The City of Mesa ESG Program
- ✓ ESG Substantial Amendment Certifications
- ✓ Executed SF 424

11.0 Appendix

- ✓ Table 3C Information For The City of Mesa ESG Program
 - ✓ ESG Substantial Amendment Certifications
 - ✓ Executed SF 424

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name **City of Mesa, Arizona**

Priority Need

High

Project Title

FY 2011/'12 Emergency Solutions Grant (ESG) Rapid Re-housing/Homeless Prevention Support

Description

Rapid re-housing and/or homeless prevention activities to assist individuals or families from becoming homeless and/or assisting them in regaining stability in current or other permanent housing. Specific activities will include tenant and/or project based rental in addition to housing relocation and stabilization services.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

City of Mesa, Arizona

Street Address:

City, State, Zipcode:

Objective Number	Project ID
HUD Matrix Code	CDBG Citation
Type of Recipient	CDBG National Objective
Start Date (mm/dd/yyyy) 7/1/2012	Completion Date (mm/dd/yyyy) 6/30/2013
Performance Indicator Assist 30 households	Annual Units
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG	\$73,246.55
HOME
HOPWA
Total Formula	\$73,246.55
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	\$73,246.55

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Mesa, Arizona

Priority Need

Project Title
FY 2011/'12 Emergency Solutions Grant (ESG) Administration

Description
Administration for FY 2011/'12 ESG activities.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area
City of Mesa, Arizona

Street Address:
City, State, Zipcode:

Objective Number	Project ID
HUD Matrix Code	CDBG Citation
Type of Recipient	CDBG National Objective
Start Date (mm/dd/yyyy)	Completion Date (mm/dd/yyyy)
Performance Indicator	Annual Units
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG	\$11,600.45
HOME
HOPWA
Total Formula	\$11,600.45
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	\$11,600.45

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction’s consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature/Authorized Official

Date

Title



ESG SUBSTANTIAL AMENDMENT

SF 424

Complete the fillable fields (blue cells) in the table below. The other items are pre-filled with values from the Grantee Information Worksheet.

Date Submitted 05-15-2012	Applicant Identifier	Type of Submission	
Date Received by state	State Identifier	Application	Pre-application
Date Received by HUD	Federal Identifier	<input type="checkbox"/> Construction	<input type="checkbox"/> Construction
		<input checked="" type="checkbox"/> Non Construction	<input type="checkbox"/> Non Construction
Applicant Information			
City of Mesa, Arizona		040270	
P.O. Box 1466		DUNS # 02-014-1404	
20 East Main Street, Suite 250		City of Mesa	
Mesa	Arizona	Housing & Community Dev. Department	
85211-1466	Country U.S.A.		
Employer Identification Number (EIN):		County: Maricopa	
86-6000252		Program Year Start Date (FY 2011/'12)	
Applicant Type:		Specify Other Type if necessary:	
Local Government: Municipal		Specify Other Type	
Program Funding		U.S. Department of Housing and Urban Development	
Catalogue of Federal Domestic Assistance Numbers; Descriptive Title of Applicant Project(s); Areas Affected by Project(s) (cities, Counties, localities etc.); Estimated Funding			
Community Development Block Grant		14.218 Entitlement Grant	
\$CDBG Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	
\$Locally Leveraged Funds		\$Grantee Funds Leveraged	
\$Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for CDBG-based Project(s)			
Home Investment Partnerships Program		14.239 HOME	
\$HOME Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	

\$Locally Leveraged Funds		\$Grantee Funds Leveraged	
\$Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for HOME-based Project(s)			
Housing Opportunities for People with AIDS		14.241 HOPWA	
HOPWA Project Titles		Description of Areas Affected by HOPWA Project(s)	
\$HOPWA Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	
\$Locally Leveraged Funds		\$Grantee Funds Leveraged	
\$Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for HOPWA-based Project(s)			
Emergency Shelter Grants Program		14.231 ESG	
ESG Project Titles Emergency shelter grant assistance for homeless individuals and families within Mesa...FY 2011/12 2nd Allocation		Description of Areas Affected by ESG Project(s) Areas within the corporate limits of the City of Mesa including any county islands.	
\$ESG Grant Amount 84,847	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	
\$Locally Leveraged Funds 967,000		\$Grantee Funds Leveraged	
\$Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for ESG-based Project(s) 967,000			
Congressional Districts of: Mesa		Is application subject to review by state Executive Order 12372 Process?	
Applicant Districts: 5,6	Project Districts: 5,6		
Is the applicant delinquent on any federal debt? If "Yes" please include an additional document explaining the situation.		<input type="checkbox"/> Yes	This application was made available to the state EO 12372 process for review on DATE
		<input checked="" type="checkbox"/> No	Program is not covered by EO 12372
<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> N/A	Program has not been selected by the state for review
Person to be contacted regarding this application			
Tammy		Albright	
Director, Housing & CD	(480)-644-3312	(480) 644-4842 fax	
tammy.albright@mesaaz.gov	www.mesaaz.gov	Other Contact: Mary Berumen 480-644-3312	
Signature of Authorized Representative Christopher J. Brady, City Manager		Date Signed	