

FOLLOW-UP REVIEW

Date:	November 29, 2021
Department:	Engineering
Subject:	Job Order Contracting
Lead Auditor:	Michelle Hutson, Senior Internal Auditor

OBJECTIVE

The objective of this follow-up review was to determine whether the Engineering department effectively implemented the action plan based on the recommendation from the April 2021 Job Order Contracting audit report.

SCOPE & METHODOLOGY

To accomplish our objective, we:

- Reviewed the approval workflow process for Job Order Contract (JOC) projects within CIPMesa, the Engineering department's project planning system.
- Reviewed a sample of JOC projects to ensure supervisor review and approval was documented in CIPMesa.

BACKGROUND

On April 13, 2021, we issued a report on our audit of the Engineering department's JOC project delivery method. The objective of the audit was to determine whether JOC projects were administered in accordance with established criteria and whether there were effective controls in place to prevent or detect fraud, waste, or abuse. The audit concluded that controls for the JOC cost proposal review and approval process could be further improved. The audit report included one recommendation to ensure supervisor reviews of JOC cost proposals were documented. The department agreed to the recommendation and developed an action plan to implement the recommended change.

CONCLUSION

The recommendation has been implemented and is summarized in the following table:

Recommendation	Implemented
1-1: Engineering should ensure all supervisors are trained to document their review of project documents on the Project Summary form.	✓