

# Special Event Liquor License Application Attachment B

Licensing Office  
55 North Center Street Mailing  
Address:  
PO Box 1466  
Mesa, Arizona 85211-1466  
480-644-2316 Telephone  
480-644-3999 Fax  
www.mesaaz.gov/business/licensing



BCPAD  
#1

MAR 19 2018

CITY OF MESA  
LICENSING OFFICE

If you intend to serve alcohol at your special event, you will need to obtain a Special Event Liquor License or an Extension of Premises from City of Mesa Licensing Office. This must be submitted at least 60 days prior to the event. A license is required with special provisions outlined. Plan a minimum of 60 days to complete this process.

Check all that apply:

☐ Free/Host Alcohol  
☐ Beer

☒ Alcohol Sales  
☐ Beer and Wine

☐ Host and Sale Alcohol  
☐ Beer, Wine and Distilled Spirits

Do you plan to secure a:

☒ **Special Event Liquor License** - The Special Event Liquor License fee is \$25 and must be approved by the City Council. After city approval, your application must be submitted to, and approved by, the State of Arizona. There are fees involved at the State. This license can only be obtained by a non-profit organization, 501(C). (Complete the State of Arizona Special Event Liquor Application and site plan.)

☐ **Extension of Premises License** - There is no fee involved with the Extension of Premises. This is allowed when a liquor license is already in effect and you want to extend the area where liquor is sold. (Complete the State of Arizona Extension of Premises Application and site plan.)

If this is an Extension of Premises, are there any other activities taking place except for the sale of liquor in the extended area? No ☐ Yes ☐ Type of activities taking place: \_\_\_\_\_

Please describe your security plan to ensure the safe sale or distribution of alcohol at your event ID check at all points of sale w/wristband 21+

If applying for a Special Event Liquor License the following must be provided:

American Legion Post 1

86-0035253

Charity's or Organization's Name  
Jeff Frain

Member

501 (C) #

REDACTED

Name of Contact at Charity/Organization  
Nancy Stevens

Title with Organization  
Contractor

Phone Number

480-239-4146

On-Site Agent Responsible for Liquor

How will attendees over the age of 21 be identified? Patrons ID for age and 21+ wristband

What controls will be used to keep attendees under the age of 21 from obtaining alcohol at the event? Wristband ID, Event roaming security team

Will food be served? ☒ Yes ☐ No If yes, what type of food will be served Multiple Vendors

Seating capacity of designated area: # \_\_\_\_\_



**SECTION 5** Regarding the application for a special event permit: The **Officer, Director, or Chairperson of the organization** certifies that the Organization meets the criteria in A.R.S. § 4-203.02(E) as indicated by checking one of the boxes below.

- (1) ☐ The Organization is a political party or a campaign committee supporting a candidate for public office. Please indicate the name of the candidate that the Organization supports, the office that the candidate seeks, and the month and year that the candidate would first fill the office if successful.

Candidate: \_\_\_\_\_  
Name Office Month/Year

- (2) ☒ The Organization is a non-profit entity organized in Arizona, or pursuant to the laws of another state that is eligible for designation under Section 501 (C) of the internal revenue code of the United States. If the Organization is applying under option (2) as a nonprofit entity, please also **INITIAL** in the spaces provided next to **all** following statements to indicate that, to the best of the Organization's knowledge, the following statements are correct.

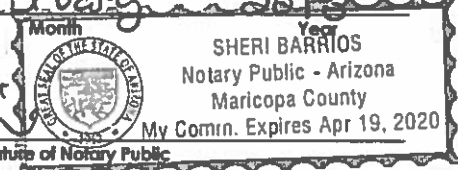
**To be initialed only by an Officer, Director, or Chairperson of the organization.**

JFL \_\_\_\_\_ The Organization has received a determination letter from the Internal Revenue Service ("IRS") indicating that it is eligible for designation as a nonprofit entity under Section 501 (C), eligibility or will be eligible on all days that the special event will occur, or has a pending application with the IRS for such treatment that has not been resolved but that will retroactively cover all days that the special event will occur. (Please provide a copy of either the IRS determination letter or the application [without attachments] with this application).

JFL \_\_\_\_\_ The Organization is not aware of any action taken by the IRS to revoke, suspend, or otherwise eliminate their Eligibility under 501 (C), or if there is a pending application, the eligibility has not received any indication that the IRS will deny its application and has a good faith basis formed upon a reasonable inquiry into IRS regulations, guidelines and forms that are eligible under 501 (C).

JFL \_\_\_\_\_ The Organization understands that if there is a change in circumstances after completing this form that may cause or has caused it to lose its eligibility under 501 (C), whether before or after receiving an IRS determination letter, that it has an affirmative duty to notify the Department of Liquor, which may take appropriate action regarding the loss of eligibility.

**To be completed only by an Officer, Director, or Chairperson of the organization.**

I, (Print Full Name) <u>Patrick Mays</u> declare that I am an Officer, Director, or Chairperson of the organization filing this application as listed above. I have read the application and the contents and all statements are true, correct and complete.			
<u>X</u> Signature	<u>Commander</u> Title/ Position	<u>2/20/2018</u> Date	<u>602-253-8431</u> Phone Number
The foregoing instrument was acknowledged before me this <u>21</u> <u>February</u> <u>2018</u>			
State <u>ARIZONA</u> County of <u>Maricopa</u>			
My Commission Expires on: <u>Apr. 19, 2020</u> Date			

**SECTION 6** Will this event be held on a currently licensed premise and within the already approved premises? ☐ Yes ☒ No  
(If yes, Local Governing Body Signature not required)

\_\_\_\_\_  
Name of Business

\_\_\_\_\_  
License Number

\_\_\_\_\_  
Phone (Include Area Code)

**SECTION 7** How is this special event going to conduct all dispensing, serving, and selling of spirituous liquors? Please read R-19-318 for explanation and check one of the following boxes.

- ☐ Place license in non-use  
☐ Dispense and serve all spirituous liquors under retailer's license  
☒ Dispense and serve all spirituous liquors under special event  
☐ Split premise between special event and retail location

(IF USING RETAIL LICENSE, PLEASE SUBMIT A LETTER OF AGREEMENT FROM THE AGENT/OWNER OF THE LICENSED PREMISES TO SUSPEND OR RUN CONCURRENT WITH THE PERMANENT LICENSE DURING THE EVENT. IF THE SPECIAL EVENT IS ONLY USING A PORTION OF THE PREMISES, AGENT/OWNER WILL NEED TO SUSPEND THAT PORTION OF THE PREMISES.)

**SECTION 8**

What is the purpose of this event? ☒ On-site consumption ☐ Off-site (auction/wine/distilled spirits pull) ☐ Both

**SECTION 9**

1. Has the applicant been convicted of a felony, or had a liquor license revoked within the last five (5) years?

☐ Yes ☒ No (If yes, attach explanation.)

2. How many special event days have been issued to this organization during the calendar year? \_\_\_\_\_  
(The number cannot exceed 10 days per year.)

3. Is the organization using the services of a licensed contractor or other person to manage the sale or service of alcohol?

☒ Yes ☐ No (If yes, must be a licensed contractor or licensee of series 6, 7, 11, or 12)

4. List all people and organizations who will receive the proceeds. Account for 100% of the proceeds. The organization applying must receive 25% of the gross revenues of the special event liquor sales. Attach an additional page if necessary.

Name BTN, LLC Percentage: 75%

Address 2147 W. Madero Ave Mesa, AZ 85202

Name American Legion Post 1 Percentage: 25%

Address \_\_\_\_\_ City Phoenix State AZ Zip \_\_\_\_\_  
Street City State Zip

Please read A.R.S. § 4-203.02 Special event license: rules and R19-1-205 Requirements for a Special Event License.

**Note: ALL ALCOHOLIC BEVERAGE SALES MUST BE FOR CONSUMPTION AT THE EVENT SITE ONLY.**

**NO ALCOHOLIC BEVERAGES SHALL LEAVE A SPECIAL EVENT UNLESS THEY ARE IN AUCTION WINE OR DISTILLED SPIRITS PULL SEALED CONTAINERS OR THE SPECIAL EVENT LICENSE IS STACKED WITH WINE /CRAFT DISTILLERY FESTIVAL LICENSE.**

5. What type of security and control measures will you take to prevent violations of liquor laws at this event?  
(List type and number of police/security personnel and type of fencing or control barriers, if applicable.)

14 Number of Police \_\_\_\_\_ Number of Security Personnel ☒ Fencing ☐ Barriers

Explanation: Patrons will be ID' and wristband for 21+.

On site security roaming teams looking for underage drinking

**SECTION 10** Dates and Hours of Event. Days must be consecutive but may not exceed 10 consecutive days.  
See A.R.S. § 4-244(15) and (17) for legal hours of service.

**PLEASE FILL OUT A SEPARATE APPLICATION FOR EACH "NON-CONSECUTIVE" DAY**

	Date	Day of Week	Event Start Time AM/PM	License End Time AM/PM
DAY 1:	4-20-18	Friday	3pm	10:30 pm
DAY 2:				
DAY 3:				
DAY 4:				
DAY 5:				
DAY 6:				
DAY 7:				
DAY 8:				
DAY 9:				
DAY 10:				

**SECTION 11** License premises diagram. The licensed premises for your special event is the area in which you are authorized to sell, dispense or serve alcoholic beverages under the provisions of your license. Please attach a diagram of your special event licensed premises. Please show dimensions, serving areas, fencing, barricades, or other control measures and security position.

**ATTACH DIAGRAM**

N  
W-E  
S

# UFEST Riverview Park - Mesa



Please contact the local governing board for additional application requirements and submission deadlines. Additional licensing fees may also be required before approval may be granted. For more information, please contact your local jurisdiction.

**SECTION 12 Local Governing Body Approval Section.**

Date Received: _____				
I, _____ (Government Official)	_____ (Title)	recommend	<input type="checkbox"/> APPROVAL	<input type="checkbox"/> DISAPPROVAL
On behalf of _____ (City, Town, County)	_____ Signature	_____ Date	_____ Phone	

**SECTION 13 For Department of Liquor Licenses and Control use only.**

<input type="checkbox"/> APPROVAL <input type="checkbox"/> DISAPPROVAL	BY: _____	DATE: ____/____/____
--	-----------	----------------------

**A.R.S. § 41-1030. Invalidity of rules not made according to this chapter; prohibited agency action; prohibited acts by state employees; enforcement; notice**

B. An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute, rule or state tribal gaming compact. A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition.

D. THIS SECTION MAY BE ENFORCED IN A PRIVATE CIVIL ACTION AND RELIEF MAY BE AWARDED AGAINST THE STATE. THE COURT MAY AWARD REASONABLE ATTORNEY FEES, DAMAGES AND ALL FEES ASSOCIATED WITH THE LICENSE APPLICATION TO A PARTY THAT PREVAILS IN AN ACTION AGAINST THE STATE FOR A VIOLATION OF THIS SECTION.

E. A STATE EMPLOYEE MAY NOT INTENTIONALLY OR KNOWINGLY VIOLATE THIS SECTION. A VIOLATION OF THIS SECTION IS CAUSE FOR DISCIPLINARY ACTION OR DISMISSAL PURSUANT TO THE AGENCY'S ADOPTED PERSONNEL POLICY.

F. THIS SECTION DOES NOT ABROGATE THE IMMUNITY PROVIDED BY SECTION 12-820.01 OR 12-820.02.



### Liquor Operations Service Agreement

This Agreement is entered onto as of this 7<sup>th</sup> day of March, 2018, by and between BTN, LLC and American Legion Post 1, an Arizona non-profit public benefit corporation conducting business in the state of Arizona, Jeff Frain. American Legion Post 1, Jeff Frain desires to engage BTN, LLC for the purpose of providing liquor-related services, operations and staffing from the 2018 UFEST Music Festival taking place at Mesa Riverview Park, 2100 W. Rio Salado, Mesa, AZ 85201 and BTN, LLC desires to perform those services set within the below Agreement.

1. BTN, LLC Agrees to Provide:
  - a. Management of all liquor inventory, sales, distribution, storage and control
  - b. Bartending services for all public areas
  - c. Staffing for all of the services
  - d. Other support as needed for the bartending services
  - e. Supervision and direction of the collection of income from the Liquor Operations
  - f. Arrange for the maintenance of complete books of account and records for the Liquor Operations
  - g. Displaying of the Liquor License in accordance with the Liquor Laws and the State of Arizona
  - h. Perform such other tasks as are usual and customary in the operation of an alcoholic beverage procedures, and proper identification process of patrons.
  - i. Liquor Liability Insurance listing American Legion Post 1, Jeff Frain as additionally insured
2. American Legion Post 1 Agrees to Provide:
  - a. The Liquor License for UFEST Music Festival in accordance with State of Arizona Liquor Laws
  - b. The Alcohol Permits for UFEST Music Festival

#### Payment of Fees:

1. BTN, LLC will pay American Legion Post 1, 25% of the gross alcohol sales in accordance with A.R.S. 4-203.02 American Legion agrees to pay a total of 15% of the gross proceeds to BTN, LLC for liquor management and staffing.

Charity - American Legion, Jeff Frain

Sign

Print

Phone

Jeff Frain

JEFF FRAIN

602-418-0508

BTN, LLC

Sign

Print

Phone

Nancy Stevens

Nancy Stevens

480-239-4146



Search Date and Time:  
3/21/2018 5:15:42 PM

File Number:  
01287717

Corporation Name:  
LUKE-GREENWAY POST NO. 1, THE AMERICAN LEGION, DEPARTMENT OF

Annual Report Email Reminders
eFile Annual Report
Print Annual Report Form

[Collapse](#) | [Expand](#)

<b>Corporate Inquiry</b> ▲		
<b>File Number</b>	<b>Corporation Name</b>	<b>Check Corporate Status</b>
01287717	LUKE-GREENWAY POST NO. 1, THE AMERICAN LEGION, DEPARTMENT OF	<input type="button" value="Check Corporate Status"/>
<b>Domestic Address</b> ▲		
364 N 7TH AVE PHOENIX, AZ 85007		
<b>Statutory Agent Information</b> ▲		
<b>Agent Name:</b> ALLEN TURY		
<b>Agent Mailing/Physical Address:</b> 531 W WILSHIRE DRIVE PHOENIX, AZ 85003		
<b>Agent Status:</b> APPOINTED 09/22/2014		
<b>Agent Last Updated:</b> 09/23/2014		

## Additional Entity Information

Entity Type: NON-PROFIT	Business Type: VETERAN SERVICES
Incorporation Oate: 3/5/1980	Corporation Life Period: PERPETUAL
Domicile: ARIZONA	County: MARICOPA
Approval Date: 3/15/1980	Original Publish Date: 7/29/1981

## Officer Information

Name	Title	Address	Date of Taking Office	Last Updated
JAMES LAWSON	OTHER OFFICER	8643 W ENCANTO BLVD PHOENIX, AZ 85037	06/01/2017	06/29/2017
ALLEN TURY	OTHER OFFICER	531 W WILSHIRE DRIVE PHOENIX, AZ 85003	06/01/2017	06/29/2017
PATRICK MAYS	PRESIDENT	509 W. LYNWOOD PHOENIX, AZ 85007	06/01/2017	06/29/2017
MASON MITCHELL	SECRETARY	18418 N 19TH ST PHOENIX, AZ 85022	06/01/2017	06/29/2017

## Director Information

Name	Title	Address	Date of Taking Office	Last Updated
CARLO ZOMPA	DIRECTOR	POB 712 GLENDALE, AZ 85311	06/01/2017	06/29/2017
DANA KRIEGER	DIRECTOR	614 N 9TH ST #3 PHOENIX, AZ 85006	06/01/2017	06/29/2017
ERNEST MARTINEZ	DIRECTOR	755 E WILLET TA PHOENIX, AZ 85006	06/01/2017	06/29/2017
JAMES MONK	DIRECTOR	46199 W BARBARA LN MARICOPA, AZ 85139	06/01/2017	06/29/2017

## Annual Reports

Next Annual Report Due: 9/5/2018

File Year	File Month	Date Received	Reason Returned	Date Returned	Extension
2017	9	6/28/2017			

Search Time:

3/21/2018 5:19:07 PM

File Number:

01287717

Corporation Name:

LUKE-GREENWAY POST NO. 1, THE AMERICAN LEGION, DEPARTMENT OF

### Corporate Status Inquiry

## This Corporation is in Good Standing

This information is provided as a courtesy and does not constitute legally binding information regarding the status of the entity listed above. To obtain an official Certificate indicating that the entity is in good standing click on Print Certificate and follow printing instructions. To re-print a previously generated Certificate of Good Standing click Reprint Certificate.

[Print Certificate \(/GoodStanding/PrintInstructions?corpId=%2001287717\)](/GoodStanding/PrintInstructions?corpId=%2001287717)

[Reprint Certificate \(/GoodStanding/Reprint?corpId=%2001287717\)](/GoodStanding/Reprint?corpId=%2001287717)

[Return to Corporate Details \(/Details/Corp?corpId=%2001287717\)](/Details/Corp?corpId=%2001287717)

---

Privacy Policy (<http://www.azcc.gov/Divisions/Administration/Privacy.asp>) | Contact Us  
(<http://www.azcc.gov/divisions/corporations/contact-us.asp>)